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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/460,045  
Filed: December 13, 1999  
Confirmation No. 1941  
Inventors:  
Nesmith et al.

§ Examiner: Ella Colbert  
§ Art Unit: 3624  
§ Atty. Dkt. No: 5226-00600  
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Title: COMPUTERIZED  
METHOD AND SYSTEM  
FOR TRADING OF  
SECURITIES

CERTIFICATE OF MAILING  
UNDER 37 C.F.R. §1.8  
DATE OF DEPOSIT: December 21, 2004  
I hereby certify that this correspondence is being deposited with  
the United States Postal Service with sufficient postage as first  
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Commissioner for Patents  
Alexandria VA 22313-1450  
B. Gail Ballard

AMENDMENT; RESPONSE TO OFFICE ACTION MAILED October 19, 2004

Mail-Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313

Sir:

In response to the Office Action of October 19, 2004, please amend the above-identified application as follows:

*Amendments to the Claims* are reflected in the listing of claims that begins on page 2 of this paper.

*Remarks/Arguments* begin on page 16 of this paper.